

## E.SUN Securities Co., Ltd

### List of the 9th Board of Directors and Supervisors

Position	Nationality	Name	Gender	Date Assumed Office
Chairman	Republic of China	Lin,Chin-Hui	Male	2023.6.16 (Chairman Assumption Date: January 24, 2024)
Director	Republic of China	Chen,Shiuan-Tai	Male	2023.6.16
Director	Republic of China	Kuo,Chien-Nan	Male	2023.6.16
Director	Republic of China	Wei,Wen-Hsin	Male	2023.6.16
Director	Republic of China	Chiu,Hsueh-Tzu	Female	2024.3.15
Supervisor	Republic of China	Yu,Wan-Hsuan	Female	2023.6.16

### Disclosure of Directors' and Supervisors' Education, Experience, and Independence Information:

Position	Name	Education	Current Position	Independence Information
Chairman	Lin,Chin-Hui	Graduate Institute of Industrial Engineering, National Taiwan University	Chairman, E.SUN Securities	Not a spouse or within second-degree relative to any other director, supervisor, or managerial officer.
Director	Chen,Shiuan-Tai	Department of Finance, University of Bath, UK	President, E.SUN Securities	Not a spouse or within second-degree relative to any other director, supervisor, or managerial officer.
Director	Kuo,Chien-Nan	Graduate Institute of Business Administration, National Taiwan University	Chief Engineer, Intelligent Finance Division, E.SUN Bank	Not a spouse or within second-degree relative to any other director, supervisor, or managerial officer.
Director	Wei,Wen-Hsin	Graduate Institute of International Business, National Taiwan University	Assistant General Manager, Financial Management Division, E.SUN Bank	Not a spouse or within second-degree relative to any other director, supervisor, or managerial officer.

Director	Chiu,Hsueh-Tzu	Graduate Institute of Management Science, National Chiao Tung University	Assistant General Manager, Personal Banking Division, E.SUN Bank	Not a spouse or within second-degree relative to any other director, supervisor, or managerial officer.
Supervisor	Yu,Wan-Hsuan	Department of Accounting, Soochow University	Senior Manager, General Administration Division, E.SUN Financial Holding	Not a spouse or within second-degree relative to any other director, supervisor, or managerial officer.

- 個別董監事依其學經歷、專業領域及相關背景，具備多元化情形：

多元化 核心	營運判 斷能力	會計及 財務分 析能力	經營管 理能力	危機處 理能力	產業 知識	國際市 場觀	領導 能力	決策 能力	風險管理知識 與能力
董監事姓名									
林晉輝	√		√	√	√	√	√	√	√
陳烜台	√	√	√	√	√	√	√	√	√
郭健男	√		√	√	√	√	√	√	√
魏文信	√	√	√	√		√	√	√	√
邱雪姿	√		√	√		√	√	√	√
游琬萱	√	√	√	√		√	√	√	√

#### 董事會成員落實多元化

本公司董事會成員及席次由金控母公司整體考量本公司未來經營策略發展，並考量多元化標準及衡酌實務運作需要，適當配置5席董事及1席監事，選任誠信正直能帶領公司持續邁向卓越的最適董監事人選，包含本公司經營團隊(國內外經紀業務)、玉山銀行智能金融處、玉山銀行財務金融事業處、玉山銀行個人金融事業總處、玉山金控綜合管理處等成員組成多元且具專業之董事會，董事成員均具備執行業務所必須之知識、技能、素養及整體應具備金融產業決策能力、風險管理、管理能力等各項能力，進而讓董事會決策具前瞻性、客觀性與周延性，並透過持續安排多元進修課程增強其專業性，善盡忠實執行業務及善良管理人之注意義務，充分發揮經營決策及領導督導功能。

本公司訂定完善的董監事制度，董事會應指導公司策略、監督管理階層、對公司及股東負責，其公司治理制度之各項作業與安排，應確保董事會依照法令、公司章程之規定或股東會決議行使職權。

董監事於任期期間對於公司營運及未來方向進行深度討論及溝通，共同規劃對組織成長、績效提升、營運發展、風險管理及公司治理等具有重大層面影響之決策，充分發揮各自專業指導公司經營階層並監督董事會。