

E.SUN Securities Company

The Rules for performance evaluation of Boards

Approved on 2013.04.19 during the 7th Meeting of the Fifth Board of Directors
Amended on 2019.06.20 during the 16th Meeting of the Seventh Board of Directors
Amended on 2024.03.13 during the 8th Meeting of the Ninth Board of Directors
Amended on 2025.06.18 during the 18th Meeting of the Ninth Board of Directors

Article 1 Purpose

This Policy is established to enhance the functions of the Board of Directors and to implement corporate governance.

Article 2 Evaluation Periods and Methods

Board performance evaluation shall be conducted at the end of each year according to the evaluation dimensions and indicators, and the chairman of the board shall be authorized to adjust the evaluation dimensions, indicators, or options according to business requirements or matters concerning the development of corporate governance practices and theories.

Article 3 Evaluation Procedure

I. Evaluation of board-level functional committee performance

- (I) Each year, the Company evaluates performance of various functional committees in the previous year using the committee performance scorecard.
- (II) Performance is evaluated by the respective functional committees and subsequently reported to the board of directors.

II. Evaluation of directors and supervisor performance

- (I) At the beginning of each year, directors and supervisor are required to evaluate their own performance (self-assessment) and the performance of other directors and supervisor (overall assessment) in the previous year, using a designated scorecard.
- (II) The board meeting organizer will consolidate directors' and supervisor's self-assessments and overall assessments to conclude the final performance score for each director. This result is subsequently presented for the Chairman's review.

Article 4 Evaluation Items and Indicators

I. Evaluation of board-level functional committees performance:

The content of evaluation shall include degree of participation in the Company's operations; quality of committee decisions; committee composition and structure; selection of suitable committee members and continuing professional education; and internal control.

II. Self evaluation:

Evaluations shall be conducted using actual names, and the content of evaluations shall include the understanding of the company's goals and mission, awareness of the duties of directors and supervisors, the level of participation in company operations, management of internal relationships and communication, the professionalism and continuous education of directors and supervisors, as well as internal controls.

III. Overall assessment:

Assessments shall include the understanding of the company's goals and mission, awareness of the duties of directors and supervisors, participation in company operations, management of internal relationships and communication, the professionalism and continuous development of directors and supervisors, as well as internal controls.

The Company's board of directors, functional committee, and director performance Evaluation scales established based on the aforementioned principles are provided in the Appendix.

Article 5 Performance Evaluation of Boards

The results of the overall performance evaluation of all directors and supervisors may be used as indicators to measure the overall performance of the Board of Directors. The Company's board of director's performance evaluation could be executed at least once every three years by an external professional independent institution or external expert scholar.

The chairman of the board shall be authorized to decide the delegated subject, schedule, methods, and related matters in the preceding paragraph.

Article 6 This policy shall become effective upon approval by the board of directors.