

Articles of Incorporation of E.SUN Securities Co., Ltd.

Chapter I General Provisions

- Article 1 The Company is organized in accordance with the Company Act, named E.SUN Securities Co., Ltd., abbreviated as E.SUN Securities. Its English name is E.SUN SECURITIES CO., LTD.
- Article 2 The purpose of the Company is to serve investors and industrial and commercial enterprises, promote economic development, enhance business prosperity, industrial upgrading, and internationalization.
- Article 3 The head office of the Company is located in Taipei City, and branch offices may be established domestically or abroad at appropriate locations as resolved by the Board of Directors based on business needs.
- Article 4 Public notices of the Company shall be published in a daily newspaper circulated at the location of the head office.

Chapter II Shares

- Article 5 The total capital of the Company is NT\$6.5 billion, divided into 650 million shares, each with a par value of NT\$10, and the Board of Directors is authorized to issue the shares in installments.
- Article 6 All Company shares shall be registered shares, issued after being signed by at least three directors and certified according to law.
- Article 7 Transfers of shares shall be suspended within 30 days before the annual shareholders' meeting, 15 days before any special shareholders' meeting, or 5 days before the record date for dividend, bonus, or other distribution.

Chapter III Business

- Article 8 The Company's business items are as follows:
H301011 Securities firm.
H401011 Futures firm.
H304011 Securities investment consulting business.

The Company's business scope is as follows:

1. Underwriting securities.
2. Brokerage trading of securities on centralized markets.

3. Proprietary trading of securities on centralized markets.
4. Brokerage trading of securities at business premises.
5. Proprietary trading of securities at business premises.
6. Transfer agency services for securities.
7. Margin purchase and short sale of securities.
8. Concurrent proprietary trading of futures related to securities.
9. Issuance of call (put) warrants.
10. Brokerage trading of foreign securities.
11. Lending related to securities business.
12. Concurrent futures business.
13. Concurrent securities investment consulting business.
14. Other securities-related businesses approved by the competent authority.

Chapter IV Shareholders' Meeting

Article 9 Shareholders' meetings are classified into regular meetings and special meetings:

1. Regular meetings shall be convened once a year by the Board of Directors within six months after the end of each fiscal year.
2. Special meetings shall be convened as required by law.

Notice of a regular meeting shall be given 20 days prior, and notice of a special meeting 10 days prior, stating the date and place of the meeting.

Article 10 A shareholder unable to attend may issue a proxy form printed by the Company, specifying the scope of authorization, to appoint a proxy to attend the shareholders' meeting.

Article 11 The chairman of the Board shall preside over shareholders' meetings. If the chairman is on leave or unable to perform duties, a director designated by the chairman shall act as proxy. If no proxy is designated, the directors shall elect one among themselves.

Article 12 Except for shares with no voting rights under Article 179 of the Company Act, each share has one voting right.

A corporate shareholder may appoint more than one representative, but voting rights shall be calculated based on total shareholding; if two or

more representatives attend, they shall exercise voting rights jointly.

Article 13 The powers of the shareholders' meeting are as follows:

1. Amendment of the Articles of Incorporation.
2. Election of directors and supervisors.
3. Examination of statements prepared by the Board and reports of the supervisor.
4. Resolution on capital increase or decrease.
5. Resolution on distribution of earnings.
6. Resolution on other significant matters.

Article 14 Unless otherwise provided by the Company Act, resolutions require the presence of shareholders representing more than half of the total outstanding shares and approval by more than half of votes of those present.

If the required quorum is not met but shareholders representing over one-third of total outstanding shares are present, a provisional resolution may be adopted with approval of more than half of the votes of those present, and a new meeting shall be convened within one month.

If in the subsequent meeting shareholders representing over one-third of outstanding shares are present and the resolution is approved by more than half of votes of those present, it shall be deemed a valid resolution as in paragraph 1.

The foregoing provisional resolution provisions do not apply to election of directors and supervisors or matters requiring special resolutions under the Company Act.

Article 15 Resolutions of the shareholders' meeting shall be recorded in the minutes, signed or sealed by the chairperson, and distributed to shareholders within twenty days after the meeting.

The minutes shall state the year, month, day, venue, name of the chairperson, method of resolution, and shall record the essentials of the proceedings and their results.

The minutes shall be kept together with the attendance book and proxy

forms of attending shareholders.

- Article 15-1 When all issued shares of the Company are held by a single corporate shareholder, the powers of the shareholders' meeting shall be exercised by the Board of Directors.

Chapter V Board of Directors

- Article 16 The Company shall have five directors to form the Board of Directors. Directors shall serve a term of three years and may be re-elected. They shall be elected by the shareholders' meeting from persons with legal capacity and good moral character.

When all issued shares of the Company are held by a single corporate shareholder, the directors referred to in the preceding paragraph shall be appointed by that corporate shareholder.

The Board may establish functional committees. The number of members, terms, and duties shall be specified in each committee's organizational regulations and implemented upon Board resolution.

- Article 17 When vacancies among directors reach one-third of the total, the Board shall convene a special shareholders' meeting within thirty days to elect replacements, whose terms shall be limited to the remainder of the original term.

- Article 18 A meeting of the Board shall require attendance by at least two-thirds of the directors, and a chairperson shall be elected from among the attending directors by a majority vote.

The chairperson shall preside over both the shareholders' meeting and the Board internally, and represent the Company externally. If the chairperson is on leave or unable to perform duties, a director designated by the chairperson shall act on their behalf; if none is designated, the directors shall elect one among themselves.

- Article 19 Directors' remuneration shall be determined by resolution of the

shareholders' meeting, and any adjustments shall be subject to the same procedure.

Article 20

The powers of the Board of Directors are as follows:

1. Approval of major business and business plans.
2. Proposal of capital increase or decrease.
3. Decisions regarding establishment, dissolution, or changes of domestic or overseas branches.
4. Approval of investment in other businesses.
5. Review of important contracts.
6. Approval of budgets and preparation of final accounts.
7. Resolution on purchase, lease, or disposal of major real property except those governed by Article 185 of the Company Act.
8. Proposal of earnings distribution.
9. Appointment and removal of managerial officers.
10. Appointment and change of certified public accountants.
11. Establishment of functional committees.
12. Other powers granted by laws and shareholders' meeting resolutions.

Article 21

Except as otherwise provided by the Company Act, Board meetings shall be convened by the chairperson.

The Board may authorize the chairperson to exercise Board powers during recess, except matters involving major corporate interests, related-party credit extensions not subject to general authorization under the Financial Holding Company Act, or those required by law to be decided by the Board. The authorized matters are as follows:

1. Appointment of directors, supervisors, and representatives of subsidiaries (including overseas branches).
2. Organizational adjustments and amendments to organizational regulations.
3. Supervision and management of the Company's derivative product transactions.
4. Determination of record dates for capital increases or decreases, cash dividend distribution, and stock allotment or subscription.

Article 22

Directors shall attend Board meetings in person. A director unable to attend may appoint another director as proxy by issuing a proxy form

for each meeting specifying the scope of authorization.

A proxy may accept the authorization of only one director.

Article 22-1 Notices of Board meetings shall be given to all directors and the supervisor seven days prior to the meeting; in emergencies, meetings may be convened at any time.

Notices may be delivered by mail, personal service, fax, telex, or telegram.

With the consent of the recipients, the notices may be delivered electronically.

Article 23 Unless otherwise provided by the Company Act, resolutions of the Board require the attendance of a majority of directors and approval by a majority of those present.

Article 24 Minutes of Board meetings shall be prepared, signed or sealed by the chairperson, and distributed to all directors within twenty days after the meeting.

The minutes shall record the year, month, day, venue, name of the chairperson, method of resolution, and key points of the proceedings and their results.

The minutes shall be kept together with the attendance book of directors and proxy forms

Chapter VI Supervisor

Article 25 The Company shall have one supervisor with a term of three years who may be re-elected. The supervisor shall be elected by the shareholders' meeting from persons with legal capacity and good moral character.

When all issued shares of the Company are held by a single corporate shareholder, the supervisor referred to in the preceding paragraph shall be appointed by that corporate shareholder.

Article 26 The remuneration of the supervisor shall be determined by resolution of the shareholders' meeting, and any adjustments shall follow the

same procedure.

Article 27 The supervisor may attend Board meetings but shall have no voting rights.

Article 28 The powers of the supervisor are as follows:

1. Investigation of business and financial conditions.
2. Audit of books, documents, and financial statements.
3. Supervision of staff performance and examination of any illegal or negligent conduct.
4. Other powers granted by laws and regulations.

Chapter VII Managerial Officers

Article 29 The Company shall appoint a president, vice presidents, chief auditor, assistant vice presidents, and managers. Their appointment or removal shall be carried out by the Board in accordance with the Company Act, Securities and Exchange Act, and related laws.

Article 30 The president shall manage all Company affairs pursuant to Board resolutions, assisted by the vice presidents and assistant vice presidents.

Chapter VIII Accounting

Article 31 The Company's fiscal year begins on January 1 and ends on December 31 of each year.

At the end of each fiscal year, the Board shall prepare the following statements, submit them to the supervisor for audit thirty days before the shareholders' meeting, and present them to the regular shareholders' meeting for approval.

1. Business report.
2. Financial statements.
3. Proposal for earnings distribution or loss offsetting.

The final accounts in the preceding paragraph shall be submitted to the competent authority for review and published in accordance with law.

Article 32 If the Company has pre-tax profits during the year (meaning profits before employee remuneration and directors'/supervisors' remuneration), employee remuneration shall be allocated within the

range of 2.0% to 3.5% of such profits, as resolved by the Board; directors' and supervisors' remuneration may be allocated up to 0.7% of such profits upon Board resolution.

The distribution of employee and directors'/supervisor remuneration shall be reported to the shareholders' meeting.

However, if the Company has accumulated losses, the amount needed to offset such losses shall be retained first before making the aforementioned allocations.

Article 33 If the Company has surplus after the annual closing, taxes shall be paid and accumulated losses compensated. Thereafter, legal and statutory reserves shall be allocated according to regulations, including 10% for legal reserve; however, if the legal reserve equals the Company's paid-in capital, allocation may be waived. The remaining amount, combined with undistributed earnings, shall be proposed by the Board as an earnings distribution plan and submitted to the shareholders' meeting for resolution regarding dividends and bonuses.

Chapter IX Supplemental Provisions

Article 34 The Company's promoters have no special benefits or remuneration.

Article 35 Matters not provided in these Articles shall be handled in accordance with the Company Act, Securities and Exchange Act, and other applicable laws.

Article 36 These Articles were established on August 18, 1998 (Minguo 87) at the first promoters' meeting.

First amendment on May 12, 2000 (Minguo 89) at the second promoters' meeting.

Second amendment on July 20, 2000 (Minguo 89) at the third promoters' meeting.

Third amendment on June 19, 2001 (Minguo 90) at the shareholders' meeting.

Fourth amendment on February 26, 2002 (Minguo 91) by the Board of Directors.

Fifth amendment on November 2, 2005 (Minguo 94).

Sixth amendment on July 26, 2006 (Minguo 95).

Seventh amendment on December 28, 2006 (Minguo 95).
Eighth amendment on June 23, 2007 (Minguo 96).
Ninth amendment on January 24, 2008 (Minguo 97).
Tenth amendment on April 16, 2008 (Minguo 97).
Eleventh amendment on December 16, 2009 (Minguo 98).
Twelfth amendment on April 12, 2010 (Minguo 99).
Thirteenth amendment on June 9, 2011 (Minguo 100).
Fourteenth amendment on August 3, 2011 (Minguo 100).
Fifteenth amendment on April 19, 2013 (Minguo 102).
Sixteenth amendment on April 17, 2014 (Minguo 103).
Seventeenth amendment on December 17, 2015 (Minguo 104).
Eighteenth amendment on January 13, 2016 (Minguo 105).
Nineteenth amendment on April 21, 2021 (Minguo 110).
Twentieth amendment on June 7, 2023 (Minguo 112).
Twenty-first amendment on November 22, 2023 (Minguo 112).
Twenty-second amendment on April 16, 2025 (Minguo 114)

